

# INVESTMENT VERIFICATION FORM - FUND SUITE



Please send the completed form via email to [admin@ariacm.com](mailto:admin@ariacm.com)

## 1. ACCOUNT HOLDER DETAILS

Client Name: \_\_\_\_\_

Client Email Address: \_\_\_\_\_ OPT IN TO MAILING LIST (TICK)

Wrapper Provider (if applicable): \_\_\_\_\_

Account Reference: \_\_\_\_\_

Financial Adviser Name: \_\_\_\_\_

Financial Adviser Firm: \_\_\_\_\_

Financial Adviser Email: \_\_\_\_\_ OPT IN TO MAILING LIST (TICK)

## 2. INVESTMENT VERIFICATION DETAILS

Please enter the investment amount in the table below and include any upfront or Back-end charge to be applied. If no charge is to be applied please leave blank.

SUB-FUND NAME	SHARE CLASS	CURRENCY	ISIN	AMC <sup>1</sup>	TRAIL <sup>2</sup>	UPFRONT CHARGE (Up to 5%) <sup>3</sup>	BACK-END CHARGE (Up to 5%) <sup>4</sup>	INVESTMENT AMOUNT	T.E.R <sup>5</sup>
PC Macro Multi-Asset Defensive Fund	A8	EUR	MT7000019196	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Defensive Fund	A8	GBP	MT7000019188	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Defensive Fund	A8	USD	MT7000019204	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Defensive Fund	D8	EUR	MT7000019287	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Defensive Fund	D8	GBP	MT7000019279	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Defensive Fund	D8	USD	MT7000019295	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Defensive Fund	E8	EUR	MT7000031845	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Defensive Fund	E8	GBP	MT7000031852	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Defensive Fund	E8	USD	MT7000031860	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Balanced Fund	A1	EUR	MT7000017513	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Balanced Fund	A1	GBP	MT7000017521	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Balanced Fund	A1	USD	MT7000017539	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Balanced Fund	D1	EUR	MT7000021051	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Balanced Fund	D1	GBP	MT7000021069	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Balanced Fund	D1	USD	MT7000021077	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Balanced Fund	E1	EUR	MT7000031001	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Balanced Fund	E1	GBP	MT7000031019	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Balanced Fund	E1	USD	MT7000031027	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Dynamic Growth	A9	EUR	MT7000019311	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Dynamic Growth	A9	GBP	MT7000019303	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Dynamic Growth	A9	USD	MT7000019329	0.75%	0.0%		N/A		1.00%
PC Macro Multi-Asset Dynamic Growth	D9	EUR	MT7000019402	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Dynamic Growth	D9	GBP	MT7000019394	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Dynamic Growth	D9	USD	MT7000019410	1.50%	0.5%		N/A		1.75%
PC Macro Multi-Asset Dynamic Growth	E9	EUR	MT7000031951	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Dynamic Growth	E9	GBP	MT7000031969	0.75%	0.0%	N/A			2.00%
PC Macro Multi-Asset Dynamic Growth	E9	USD	MT7000031977	0.75%	0.0%	N/A			2.00%

- Annual Management Charge (AMC) is the built in fee which is paid per annum to the Investment Manager of the fund
- Trail is an amount which is included within AMC figure and forwarded to the Financial Adviser per annum
- Upfront Charge is the amount agreed to be forwarded to your financial adviser for providing the investment advice. This is taken from the investment amount resulting in less initial units being received. (i.e. 3% Upfront Charge will receive a 97% allocation rate). As the amount is taken upfront, no redemption penalty applies.
- Back-End Charge is the amount agree to be forwarded to your financial adviser for providing the investment advise. This is paid by the investment fund so a 100% allocation is received and then clawed back at 1.0% per annum. If redeeming prior to the full clawback of this amount, a redemption penalty fee is applied.
- Total Expense Ratio (T.E.R) is the total fees paid per annum to be invested in the chosen fund. This is based on a Fund AUM of \$15,000,000.

### 3. Signatures

Name of Financial Adviser Firm	Signature of Financial Adviser Firm	Date
<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of Client	Signature of Client	Date
<input type="text"/>	<input type="text"/>	<input type="text"/>

This form is required in order to match and verify investments made into the ARIA Fund Suite. This will allow ARIA to recognize the client as an investor within its Sub-Fund even when invested through a Nominee Account.